

**UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK**

MULLEN AUTOMOTIVE, INC. and ULYSSES
NOVOA,

Plaintiffs,

v.

TD AMERITRADE, INC., CHARLES SCHWAB
& CO., INC., NATIONAL FINANCIAL
SERVICES, LLC and JOHN DOES 1 THROUGH
10,

Defendants.

Case No. 1:23-cv-07647-AT

RULE 7.1 CORPORATE DISCLOSURE STATEMENT

Pursuant to Rule 7.1 of the Federal Rules of Civil Procedure, Defendants TD Ameritrade, Inc. and Charles Schwab & Co., Inc., by and through the undersigned counsel, certifies the following:

1. The parent corporation of TD Ameritrade, Inc. is TD Ameritrade Online Holdings Corp., a wholly owned subsidiary of TD Ameritrade Holding Corporation, which is a wholly owned subsidiary of The Charles Schwab Corporation. The Charles Schwab Corporation is a publicly traded corporation and has no parent corporation.

2. The parent corporation of Charles Schwab & Co., Inc. is Schwab Holdings, Inc., which is a wholly owned subsidiary of The Charles Schwab Corporation. The Charles Schwab Corporation is a publicly traded corporation and has no parent corporation.

Dated: September 12, 2023

Respectfully submitted,

By: /s/ Peter G. Wilson

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and Charles Schwab & Co., Inc.*

CERTIFICATE OF SERVICE

The undersigned, an attorney, hereby certifies that, on September 12, 2023, he caused a true and correct copy of the foregoing to be served upon all parties by electronically filing the same with the Pacer/ECF system.

/s/ Peter G. Wilson

*One of the Attorneys for Defendants TD
Ameritrade, Inc. and Charles Schwab & Co.,
Inc.*